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1c970 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 33262
First Inventor James Steven Hayko
Title SYSTEM AND METHOD FOR AUTOMATIC
PROVISION OF AN APPLICATION
Express Mail Label No. EL595433277US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: check for \$1,468.00.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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or

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Registration No. (Attorney/Agent)

34226

Signature

John P. Murtaugh

Date

Jan. 16, 2001

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1,468.00)

Complete if Known

Application Number
Filing Date
First Named Inventor James Steven Hayko
Examiner Name
Group Art Unit
Attorney Docket No. 33262

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 16-0820
Deposit Account Name Pearne & Gordon LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101	201	Utility filing fee	710
106	206	Design filing fee	0
107	207	Plant filing fee	0
108	208	Reissue filing fee	0
114	214	Provisional filing fee	0

SUBTOTAL (1) (\$) 710

2. EXTRA CLAIM FEES

Total Claims 31
Independent Claims 10
Multiple Dependent Claims n/a

Extra Claims Fee from below Fee Paid
-20** = 11 x 18 = 198
-3** = 7 x 80 = 560
n/a = 0

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103	203	Claims in excess of 20	9
102	202	Independent claims in excess of 3	40
104	204	Multiple dependent claim, if not paid	135
109	209	** Reissue independent claims over original patent	40
110	210	** Reissue claims in excess of 20 and over original patent	9

SUBTOTAL (2) (\$) 758

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
105	205	Surcharge - late filing fee or oath	65
127	227	Surcharge - late provisional filing fee or cover sheet	25
139	139	Non-English specification	130
147	2,520	For filing a request for ex parte reexamination	2,520
112	920*	Requesting publication of SIR prior to Examiner action	920*
113	1,840*	Requesting publication of SIR after Examiner action	1,840*
115	110	Extension for reply within first month	215
116	390	Extension for reply within second month	216
117	890	Extension for reply within third month	217
118	1,390	Extension for reply within fourth month	218
128	1,890	Extension for reply within fifth month	228
119	310	Notice of Appeal	219
120	310	Filing a brief in support of an appeal	220
121	270	Request for oral hearing	221
138	1,510	Petition to institute a public use proceeding	1,510
140	110	Petition to revive - unavoidable	240
141	1,240	Petition to revive - unintentional	241
142	1,240	Utility issue fee (or reissue)	242
143	440	Design issue fee	243
144	600	Plant issue fee	244
122	130	Petitions to the Commissioner	122
123	50	Petitions related to provisional applications	123
126	240	Submission of Information Disclosure Stmt	240
581	40	Recording each patent assignment per property (times number of properties)	581
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	246
149	710	For each additional invention to be examined (37 CFR § 1.129(b))	249
179	710	Request for Continued Examination (RCE)	279
169	900	Request for expedited examination of a design application	169

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) John P. Murtaugh

Registration No (Attorney/Agent) 34226

Complete (if applicable)

Telephone 216-579-1700

Signature

John P. Murtaugh

Date Jan. 16, 2001

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